

September 2021

AuAg ESG Gold Mining UCITS ETF



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CEO



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COO

Structure

ETF Creator
Fund Umbrella
Index Provider
ESG Data Provider
Custodian
Primary Market Maker
Replication
Domicile
UCITS

AuAg Funds
HANetf
Solactive
Sustainalytics
BNY Mellon
RBC Europe
Physical
Ireland
Yes

Listings & Tickers

London LSE - USD
London LSE - GBP
Frankfurt Xetra - EUR
Milano Borsa Italiana - EUR

ESGO
ESGP
ZSGO
ESGO

Key Facts Overview

Asset Class
Number of Holdings
Base Currency
Income Treatment
TER
Rebalance Frequency
SFDR Classification
ISIN

Equities
25
USD
Accumulating
60 bps
Quarterly
Article 8
IE00BNTVVR89

Risk Rating

1	2	3	4	5	6	7
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Return Statistics

NAV
Average Monthly Return

6.54
-5.53%

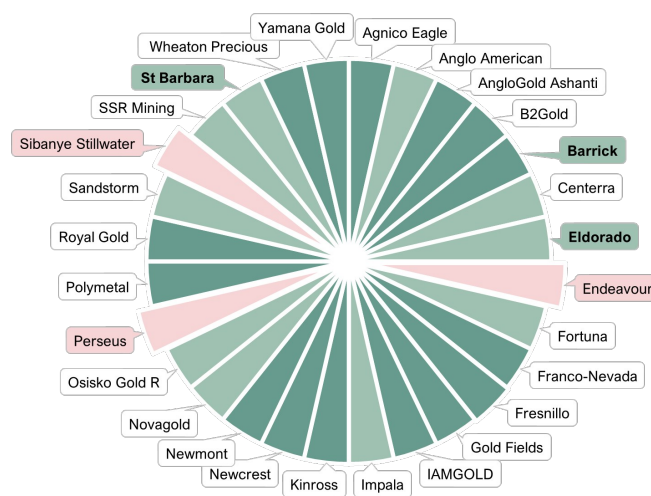
Key Highlights

- **Return potential:** The equal-weighted design gives more exposure towards mid-cap for a higher Beta
- **Risk:** No concentration risk in comparison to market/liquid-weighted ETFs
- **ESG:** Tracks 25 best-in-class ESG Risk companies in the sector

Investment Objective

The AuAg ESG Gold Mining UCITS ETF (ESGO) offer exposure to an equal-weighted basket of 25 ESG screened companies that are active in the gold mining industry. The ETF tracks the Solactive AuAg ESG Gold Mining Index which focuses on companies that have low ESG risk characteristics. The fund uses Sustainalytics to screen the mining universe for their ESG credentials, attributing a risk score based on their findings. Only the top 25 lowest ESG Risk companies are included within the index.

Portfolio



In the ordinary rebalance, the following composition will be implemented over a period starting on 24.09.2021 (cob) and ending on 29.09.2021 (cob). The new composition and target weights will be fully reflected in the index open 30.09.2021:

- New constituents (green and bold)
- Deletions (red and drawn out)
- Constituents with over 85% participation from all 27 quarterly rebalances since start of index (dark)
- ESGO, ESG Risk Score – average: 26,48 / highest: 33,97 (Barrick)
- Universe, ESG Risk Score – average: 36,07 / highest: 61,49
- Index value as of 30.09.2021: 1970.60, +97,60% (index start date 27.03.2015, at 1000.00)

Monthly Returns (USD)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Year
2021							2.06	-6.94	-11.25				-15.70

Methodology

Screening: The ETF is a representation of securities active in the gold mining industry with low ESG Risk characteristics. As an ESG best-in-class (BIC) strategy, only the 25 stocks from the eligible universe with the lowest ESG Risk Score are considered for the index composition. The ESG Risk Score is provided by, Sustainalytics. The index universe comprises only securities classified as one of the following industries or sub-industries according to the Factset Revere Business Industry Classification System (RBICS): Number 4515252010, 4515252020, 4515252015, 4515252025, 451525202520. Securities having a total market capitalization of at least USD 500 million, unless such security is an existing index component, in which case, the total market capitalization must be at least USD 300 million and have a minimum average daily value traded of USD 5 million over 3 months prior to and including the selection day, unless such security is an existing index component, in which case, the average daily value traded over the last 3 months must be at least USD 3 million.

ESG Screen: Solactive evaluates all companies in the index universe as to whether they comply with the principles of the UN Global Compact. Those that do not comply with the principles are excluded for further consideration. The remaining are then ranked by their ESG Risk Score in ascending order. Subsequently, 70% of the remaining companies, but no more than 25 securities and at least 20 in total, with the lowest ESG Risk Score, are selected as index components.

Weighting & Calculation: On each selection day, each index component is assigned an equal weight, rebalanced quarterly. "Rebalance day" is the last Friday in each quarter. If that day is not a trading day, the rebalance day will be the immediately following trading day. "Rebalance period" is the period starting from (and including) the rebalance day until and (including) the immediately following 4 trading days.



DISCLAIMER: THE PERFORMANCE REPRESENTED IS HISTORICAL; PAST PERFORMANCE IS NOT A RELIABLE INDICATOR OF FUTURE RESULTS AND INVESTORS MAY NOT RECOVER THE FULL AMOUNT INVESTED. ALL PERFORMANCE IS CALCULATED NET OF ALL EXPENSES AND MANAGEMENT FEES. ANY INVESTMENT DECISION WITH AUAG FONDER FINANCIAL CONCEPTS SHOULD BE MADE ON THE BASIS OF THE CURRENT PROSPECTUS, WHICH IS AVAILABLE, ALONG WITH THE KEY INVESTOR INFORMATION DOCUMENT, THE CURRENT ANNUAL AND SEMI-ANNUAL REPORTS, ELECTRONICALLY ON AUAGFONDER.SE & HANETF.COM/PRODUCT/22/FUND/AUAG-ESG-GOLD-MINING-UCITS-ETF-ACC. FURTHER INFORMATION CAN BE OBTAINED FROM YOUR FINANCIAL ADVISOR. HE/SHE CAN ADVISE YOU INDEPENDENTLY OF AUAG FONDER. AUAG FONDER ONLY PUBLISHES PRODUCT-RELATED INFORMATION AND DOES NOT MAKE ANY INVESTMENT RECOMMENDATIONS.